

#### Annexure - B

# Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

## **Data for month ending Oct 2025**

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution time (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	-	-	-	-		-	-
2	SEBI (SCORES 2.0)	-	-	-	-	-		-
3	Stock Exchanges	-	-	-	-	-		-
4	Other	-	-	-	-		-	-
	Sources (if any)							
5	Grand Total	-	-	-	-		-	-



Merchant Banker Category I: INM000012607



## **Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	April - 2025	-	-	-	-
2	May - 2025	-	-	-	-
3	June - 2025	-	-	-	-
4	July - 2025	-	-	-	-
5	August - 2025	-	-	-	-
6	September - 2025	-	-	-	-
7	October - 2025	-	-	-	-
8	November - 2025				
9	December - 2025				
10	January – 2026				
11	February – 2026				
12	March - 2026				
	Grand Total	-	-	-	-

<sup>\*</sup>Should include complaints of previous months resolved in the current month, if any.



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<sup>\*\*</sup>Should include total complaints pending as on the last day of the month, if any.

<sup>^</sup>Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.



#### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received During the year	Received During the year	Pending at the end of the year
1	2021-22	-	-	-	-
2	2022-23	-	-	-	-
3	2023-24	-	-	-	-
4	2024-25	-	-	-	-
5	2025-26	-			
	Grand Total	0	0	0	0

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